



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: David A. Sirbasku

GROUP ART UNIT: 1642

APPLICATION NO.: 09/852,547

EXAMINER: Karen A. Canella

FILED: May 10, 2001

FOR: Compositions and Methods for  
the Diagnosis, Treatment and  
Prevention of Steroid Hormone  
Responsive Cancers

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APR 14 2003

TECH CENTER 1800/2900

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: 1944-00800

Date: April 4, 2003

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.97, §1.98, Applicant is providing herewith a Supplemental Information Disclosure Statement, including completed Form PTO-1449 comprising a list of pertinent art of which Applicant is aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement, Form PTO 1449 filed on September 11, 2002, and the references provided therewith.

The submission of this Supplemental Information Disclosure Statement and the references provided therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

Because an Office Action has issued in this case, this Supplemental Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c). Applicant hereby authorizes the Commissioner to charge Deposit Account 03-2769 of Conley Rose, P.C., Houston, Texas the amount of \$180 so that this Information Disclosure Statement may be considered.

04/11/2003 MMEKONEN 00000053 032769 09852547

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Respectfully submitted,

*Carol G. Mintz*

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Reg. No. 38,561

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Please type a plus sign (+) inside this box → +

PTO/SB/021 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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1642  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/852,547
	Filing Date	05/10/2001
	First Named Inventor	David A. Sirbasku
	Group Art Unit	1642
	Examiner Name	Karen A. Canella
Total Number of Pages in This Submission	Attorney Docket Number	1944-00800

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> <b>Fee Transmittal Form</b>  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <b>Supplemental</b> <input checked="" type="checkbox"/> <b>Information Disclosure Statement</b>  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment (for an application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> <b>Other Enclosure(s) (please identify below):</b>  <b>Form PTO-1449 (with copies of 246 cited references)</b>  <b>Acknowledgement Postcard</b>
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Carol G. Mintz, Reg. No. 38,561 Conley Rose, P.C.
Signature	<i>Carol G. Mintz</i>
Date	April 4, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 4, 2003			
Typed or Printed Name	Frances Hampton		
Signature	<i>Frances Hampton</i>	Date	April 4, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.



# FEE TRANSMITTAL For FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$ 180.00

## METHOD OF PAYMENT (Check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:  
Deposit Account Number: 03-2769  
Deposit Account Name: Conley Rose, P.C.

The Commissioner is hereby authorized to: (check all that apply)  
☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Code (\$)	Code (\$)	Fee Description	Fee Paid
1001	2001	750	375			Utility filing fee	\$
1002	2002	330	165			Design filing fee	\$
1003	2003	520	260			Plant filing fee	\$
1004	2004	750	375			Reissue filing fee	\$
1005	2005	160	80			Provisional filing fee	\$

SUBTOTAL (1) \$

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	*	18.00	\$
3**	*	84.00	\$
Multiple Dependent		280.00	\$ 00.00

Large Entity	Small Entity	Fee	Fee	Code (\$)	Code (\$)	Fee Description	Fee Paid
1202	2202	18	9			Claims in excess of 20	
1201	2201	84	42			Independent Claims in excess of 3	
1203	2203	280	140			Multiple dependent claim, if not paid	
1204	2204	84	42			** Reissue independent claims over original patent	
1205	2205	18	9			** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$

\*\* or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone	Date
Carol G. Mintz	38,561	(713) 238-8000	April 4, 2003
Signature	Carol G. Mintz		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231. If you need assistance in completing the form, call 1-800-0PTO-9199 (1-800-786-9199) and select option 2.

## Complete if Known

Application Number	09/852,547
Filing Date	May 10, 2001
First Named Inventor	David A. Sirbasku
Examiner Name	Karen A. Canella
Group Art Unit	1642
Attorney Docket No.	1944-00800

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Code (\$)	Code (\$)	Fee Description	Fee Paid
1051	2051	130	65			Surcharge - late filing fee or oath	\$
1052	2052	50	25			Surcharge - late provisional filing fee or cover sheet	\$
1053	1053	130	130			Non-English specification	\$
1812	1812	2,520	2,520			For filing a request for ex parte reexamination	\$
18042	1804	920*	920*			Requesting publication of SIR prior to Examiner action	\$
1805	1805	1,840*	1,840*			Requesting publication of SIR after Examiner action	\$
1251	2251	110	55			Extension for reply within first month	\$
1252	2252	410	205			Extension for reply within second month	\$
1253	2253	930	465			Extension for reply within third month	\$
1254	2254	1,450	725			Extension for reply within fourth month	\$
1255	2255	1,970	985			Extension for reply within fifth month	\$
1401	2401	320	160			Notice of Appeal	\$
1402	2402	320	160			Filing a brief in support of an appeal	\$
1403	2403	280	140			Request for oral hearing	\$
1451	1452	1,510	1,510			Petition to institute a public use proceeding	\$
1452	2452	110	55			Petition to revive - unavoidable	\$
1453	2453	1,300	650			Petition to revive - unintentional	\$
1501	2501	1,300	650			Utility issue fee (or reissue)	\$
1502	2502	470	235			Design issue fee	\$
1503	2503	630	315			Plant issue fee	\$
1460	1460	130	130			Petitions to the Commissioner	\$
1807	1806	50	50			Processing fee under 37 CFR 1.17(g)	\$
123	123	50	50			Petitions related to provisional applications	\$
1806	1806	180	180			Submission of Information Disclosure Stmt	\$180.00
8021	8021	40	40			Recording each patent assignment per property (times number of properties)	\$
1809	2809	750	375			Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810	2810	750	375			For each additional invention to be examined (37 CFR § 1.129(b))	\$
1801	2801	750	375			Request for Continued Examination (RCE)	\$
1802	1802	900	900			Request for expedited examination of a design application	\$

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$180.00